



Wealth-Health Executive Network

WHEN 4th Quarter Agenda

Fiduciary Responsibilities and Infrastructures

10/25 @ 3:00 EDT, 10/27 @ 12:00 EDT, 11/2 @ 3:00 EDT

“Fiduciary” is a specific legal construct, and a corollary of the “Golden Rule” – Do for others as you want them to do for you. It is the foundation of “trust.” We may most often think about the financial fiduciary responsibility of advisors and firms. But others have equally important fiduciary responsibilities that directly impact client’s and client’s family’s financial situation. The responsibilities of medical professionals to their patients, and the responsibilities of employers to their employees, directly impact client’s financial, physical, and emotional wellness – but do it differently.

The investment advisor’s challenge is to understand that the quality of their advice depends on helping clients identify and plan for the situations that fall into the gaps between where the medical, employer, and their own fiduciary duties begin and end.

These sessions will compare and contrast different areas of fiduciary responsibility and how they are addressed; look at similarities, differences and overlaps; and discuss what each might learn from the other.

Tuesday October 25, 3:00 EDT

Danielle Workman, Private Wealth Advisor, Financial Center for Women

As a retirement planning specialist, Danielle will discuss how she views and addresses her fiduciary responsibility to clients; how she sees those responsibilities being met in other areas; and how advisor fiduciary responsibilities are being addressed, supported, and monitored at firm and industry level.

Thursday October 27, 12:00 (noon) EDT

William Thygeson, Jr., SVP and Head of Human Resources, Ascot Insurance North America

Employee benefits from healthcare to retirement savings have significant direct impact on employees’ (and retirees’) financial wellbeing. In offering these plans, employers have a fiduciary responsibility to manage the plans for the benefit of employees and to provide appropriate advice about how to use them. Where are the lines drawn in this area? How do employers meet their responsibilities? What is the impact of ERISA and other government statutes? Bill brings a unique perspective combining his HR responsibilities with his career insurance experience.

Wednesday November 2, 3:00 EDT

Sara Bradley, MD, Geriatrician and Associate Professor at Northwestern University Feinberg School of Medicine

Dr. Bradley brings her experience as a practicing Geriatrician, senior health system administrator, and Professor of Medicine. She will discuss responsibilities related to assuring continuity of care, treatment decisions related to palliative and hospice care, involvement of family members and other support people, and dealing with patient's ability to manage financial strains of healthcare.

For more information about WHEN and these upcoming sessions, please contact either of us:

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